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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	A.L. Stuart et al.	Examiner	Stephen C. Elmore
U.S. Patent No	7,107,416	Group Art Unit	2185
Issued	September 12, 2006	Docket No.	SJO920030096US1
TITLE	METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO ARCHIVE RECORDS		

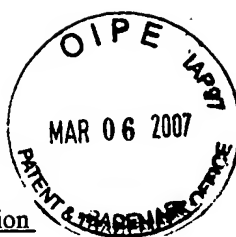
STATEMENT SUBMITTED PURSUANT TO 37 CFR 1.324(b)(3)

As assignee of record of the entire interest (Statement under 37 CFR 3.73(b) is enclosed) in the above referenced patent, I agree to the change of inventorship in the above referenced patent to add Avishai Haim Hochberg, David Maxwell Cannon and Howard Newton Martin as inventors.

SIGNATURE of Applicant or Assignee of Record

Signature:	<i>Lynne D. Anderson</i>	Date:	3-6-07			
Name	Lynne D. Anderson	Title	Program Manager - U.S. Patent and Trademark Office Liaison			
Company	International Business Machines Corporation					
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.						
*Total of _____ forms are submitted.						

STATEMENT UNDER 37 CFR 3.73(b)



Applicant/Patent Owner: International Business Machines Corporation

Application No./Patent No.: 7,107,416

Filed/Issue Date: September 12, 2006

Entitled: METHOD, SYSTEM, AND PROGRAM FOR IMPLEMENTING RETENTION POLICIES TO ARCHIVE RECORDS

International Business Machines Corporation
(Name of Assignee)

Corporation
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014674, Frame 0951, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to: Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:		Date:	<u>3-6-07</u>
Name	Lynne D. Anderson	Telephone Number	703-299-1455
Title	Program Manager - U.S. Patent and Trademark Office Liaison		



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LETTER OF AUTHORITY

I, Patrick Toole, Vice President, Intellectual Property and Standards of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney, authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing, reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, program manager, U.S. Patent and Trademark Office liaison.

Date: March 30, 2006

Patrick Toole
Vice President, Intellectual Property & Standards
International Business Machines Corporation